

DK04/722

REC'D 22 DEC 2004

WIPO

PCT

PA 1250579

THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

UNITED STATES DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

November 23, 2004

THIS IS TO CERTIFY THAT ANNEXED HERETO IS A TRUE COPY FROM THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK OFFICE OF THOSE PAPERS OF THE BELOW IDENTIFIED PATENT APPLICATION THAT MET THE REQUIREMENTS TO BE GRANTED A FILING DATE UNDER 35 USC 111.

APPLICATION NUMBER: 60/530,665

FILING DATE: December 19, 2003

PRIORITY DOCUMENT
SUBMITTED OR TRANSMITTED IN
COMPLIANCE WITH
RULE 17.1(a) OR (b)



By Authority of the
COMMISSIONER OF PATENTS AND TRADEMARKS

N. Williams
N. WILLIAMS

Certifying Officer

13281 U.S. PTO

Please type a plus sign (+) inside this box → ☐PTO/SB/16 (8-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.**PROVISIONAL APPLICATION FOR PATENT COVER SHEET**

This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 CFR 1.53(c).

INVENTOR(S)					
Given Name (first and middle [if any])		Family Name or Surname		Residence (City and either State or Foreign Country)	
Thomas Bork		Hardahl		Aalborg, Denmark	
Claus		Graff		Aalborg 0, Denmark	
Mads Peter		Andersen		Aalborg 0, Denmark	
<input type="checkbox"/> Additional inventors are being named on the _____ separately numbered sheets attached hereto					
TITLE OF THE INVENTION (280 characters max)					
A System and a Method for Analysing ECG Curvature					
Direct all correspondence to: CORRESPONDENCE ADDRESS					
<input type="checkbox"/> Customer Number		<input type="text"/>		<input type="text"/>	
OR		Type Customer Number here		Place Customer Number Bar Code Label here	
<input checked="" type="checkbox"/> Firm or Individual Name		James C. Wray			
Address		1493 Chain Bridge Road			
Address		Suite 300			
City		McLean	State	VA	ZIP 22101
Country		US	Telephone	(703) 442-4800	Fax (703) 448-7397
ENCLOSED APPLICATION PARTS (check all that apply)					
<input checked="" type="checkbox"/> Specification		Number of Pages		<input type="text" value="24"/>	<input type="checkbox"/> CD(s), Number <input type="text"/>
<input checked="" type="checkbox"/> Drawing(s)		Number of Sheets		<input type="text" value="26"/>	<input type="checkbox"/> Other (specify) <input type="text"/>
<input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76					
METHOD OF PAYMENT OF FILING FEES FOR THIS PROVISIONAL APPLICATION FOR PATENT (check one)					
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.				FILING FEE AMOUNT (\$)	
<input checked="" type="checkbox"/> A check or money order is enclosed to cover the filing fees				Filing Fee Amount (\$)	
<input type="checkbox"/> The Commissioner is hereby authorized to charge filing fees or credit any overpayment to Deposit Account Number: <input type="text"/>				\$80.00	
<input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.					
The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.					
<input checked="" type="checkbox"/> No.					
<input type="checkbox"/> Yes, the name of the U.S. Government agency and the Government contract number are: _____					

Respectfully submitted,

SIGNATURE



TYPED / PRINTED NAME

James C. Wray

TELEPHONE

(703) 442-4800

Date 12/19/03

REGISTRATION NO.

22,693

(if appropriate)

Docket Number:

PATRADE

USE ONLY FOR FILING A PROVISIONAL APPLICATION FOR PATENT

This collection of information is required by 37 CFR 1.51. The information is used by the public to file (and by the PTO to process) a provisional application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the complete provisional application to the PTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Box Provisional Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

13281 U.S. PTO

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)
80.00

Complete if Known

Application Number
Filing Date 12/19/2003
First Named Inventor Thomas Bork Hardahl
Examiner Name
Art Unit
Attorney Docket No. PATRADE

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number
Deposit Account Name

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments
☐ Charge any additional fee(s) or any underpayment of fee(s)
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	80
SUBTOTAL (1)			(\$) 80.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims -20** = X =
Independent Claims -3** = X =
Multiple Dependent =

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Name (Print/Type) James C. Wray

Registration No. (Attorney/Agent)

22,693

Telephone (703) 442-4800

Signature

Date 12/19/2003

WARNING: Information on this form may become public. Credit card information should not be included in this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

P14558EP00

2003-12-19/HEP/JTR/tpv/MM

Aalborg Universitet

A system and a method for analysing ECG curvature

The present invention relates to a system for analysing ECG curvature where at least one among a number of different parameters is isolated, which system has a input means connected to an ECG source, where the different parameters of a received ECG curvature are indicated and/or isolated and for indicating possible symptoms which
5 relates to or are indications of certain deceases, where said deceases are known to influence the ECG curvature.

The present invention further relates to a method for analysing ECG curvature, which curvature contains a number of parameters.

10

The aim of the invention is to achieve a system and a method for objective, fast and effective indication of a number of symptoms derivable from an ECG curve which may be indicative of one or more diseases.

15 This can be achieved with the system previously described if a first number of selected parameters, are combined in at least a first mathematical analysis, where the result of the analysis can be represented as a point in a coordinate system comprising at least two axes where the system can compare the actual placement in the coordinate system with a number of reference parameters stored in the system for indicating diseases
20 having influence on the ECG curvature.

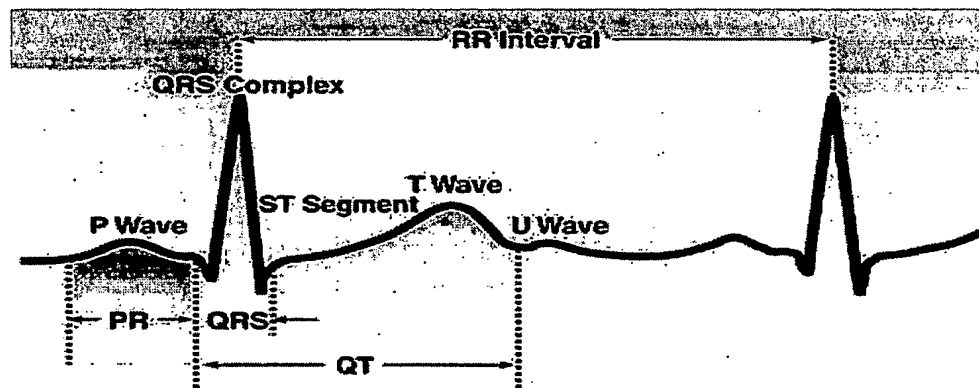
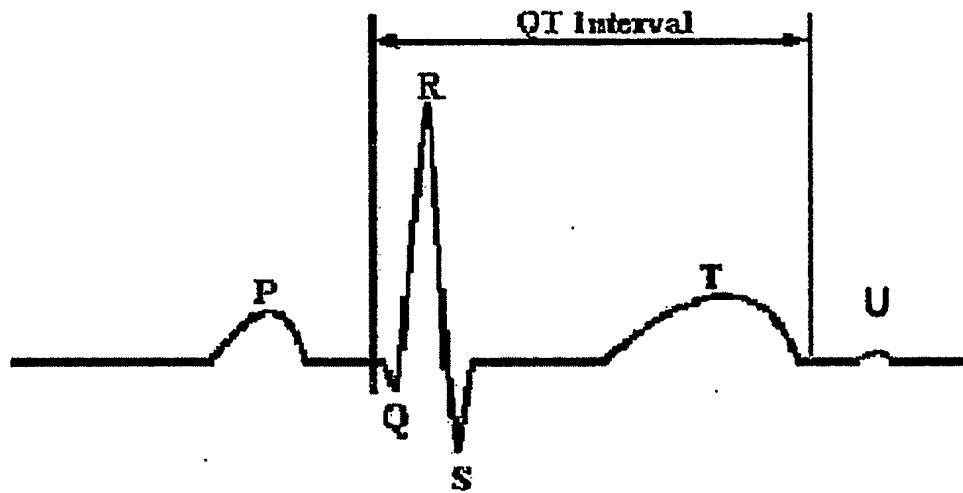
Hereby, it is achieved that any symptom of a disease having an indication (influence) in the ECG curvature can be detected in an objective, automated and very fast way. The system might be used under field conditions such as in ambulances or in other
25 situations where a fast indication of heart diseases is needed in order to help the patient in a correct way as early as possible. The analysis that takes place in an ambulance on its way to the hospital, can by transmitting the results to the hospital, allow the doctor at the hospital to give feedback to the personnel in the ambulance so that the correct treatment of the patient may start. At the same time, the hospital can prepare the correct activity for the incoming patient. The system could be very important
30 for ECG analyses for all non-specialists in the field if they have to analyse a ECG curvature.

The scope of the invention can also be fulfilled with a method for analysing the ECG curvature if the method incorporates the steps of:

- receiving ECG curvature from a source,
- 5 – indicating a number of different parameters contained in the received ECG curvature,
- storing the parameters in storage means,
- selecting disease specific parameters in the storage means
- combining selected parameters in mathematical analysing means
- 10 – representing the result of the mathematical analysis as a point in a coordinate system, comprising at least two axes,
- comparing the actual placement in the coordinate system with a number of reference parameters stored in a memory,
- indicating possible diseases having the determined influence on the ECG curvature.
- 15

In this way as already described, a very effective analysis of the ECG curvature is achieved.

- 20 Below the parameters are illustrated on a typical ECG curvature in order to illustrate the different curve sections isolated by the analysis, and referred to by parameters, intervals and complexes.



5 The heart generates an electrical signal ECG. The waves at the ECG-signal P,Q,R,S,T and U are due to depolarisation and repolarisation of the heart.

The QRS-complex contains the Q,R and S wave and goes from the Q-onset to S-offset.

10

The QT interval starts at the beginning of QRS (Q-onset) and ends at the T-wave onset.

The RR interval goes from one R-peak to the following.

15

The PR interval is from the beginning of P to the beginning of QRS (Q-onset).

QRS duration is the width the QRS complex.

20

The ST-segment starts at the QRS-complex offset and ends at the T-wave onset.

The analysing process is repeated in the system for further selected parameters in order to indicate further possible diseases or symptoms. Hereby, it is achieved that the system or the method can be repeated several times with different combinations of parameters. In order to analyse for a high number of possible diseases, each time a result of an analysis is achieved, the parameters are compared with stored parameters where every stored parameter is an indication of a symptom of a known disease. With the system, a deviation of parameters from the stored data indicating symptoms of an exact disease may also be interpreted for further reference. Parameters could be controlled, and if the storage means has an enormously high number of reference parameters relating to various symptoms, testing for all symptoms and correlated diseases having influence on the ECG curvature may be performed.

The system or method divides the parameters into at least two main groups, which groups contain parameters of symmetry, flatness and duration relating to the actual ECG curvature. In this way, it is achieved that the parameters are grouped in the system, and in every group, they can be further subdivided into a specific number of possible parameters. Keeping the number of parameters relatively small, the analysis takes place in a faster way.

The group of symmetry might contain at least the following parameters:

- S1 Skewness evaluated from Tstart to Tend,
- S2 Skewness evaluated from Tstart to Tend with Ttop as mean,
- S3 Skewness evaluated in a symmetric interval, 10 % of the Tstart-Tend interval surrounding Ttop with Ttop as mean
- S4 Skewness evaluated in a symmetric interval, 20 % of the Tstart-Tend interval surrounding Ttop with Ttop as mean,
- S5 Ratio of the time interval from Tstart to Ttop and the time interval from Ttop to Tend.
- S6 Ratio of the average slope from Tstart to Ttop and from Ttop to Tend.

The group of flatness might contain at least the following parameters:

- F1 Kurtosis evaluated from Tstart to Tend,
- F2 F1 normalized by the absolute Rtop-Qnadir value,
- 5 F3 Kurtosis evaluated from Tstart to Tend with Ttop as mean,
- F4 F3 normalized by absolute Rtop-Qnadir value,
- F5 Kurtosis evaluated in a symmetric interval, 10 % of the Tstart-Tend interval surrounding Ttop with Ttop as mean,
- F6 F5 normalized by absolute Rtop-Qnadir value,
- 10 F7 Kurtosis evaluated in a symmetric interval, 20 % of the Tstart-Tend interval surrounding Ttop with Ttop as mean,
- F8 Kurtosis normalized by the value of Rtop with Ttop as mean,
- F9 Ratio of the total area under the T-wave from Tstart to Ttop and the corresponding time interval,
- 15 F10 F9 normalized by absolute Rtop-Qnadir value,
- F11 Ratio of the total area under the T-wave from Ttop to Tend and the corresponding time interval,
- F12 F11 normalized by absolute Rtop-Qnadir value
- F13 Ratio of the total area under the T-wave from Tstart to Tend and the corresponding time interval,
- 20 F14 F13 normalized by absolute Rtop-Qnadir value,
- F15 Ratio of the height of Rtop and the width of the Tstart-Tend interval.

The group of duration might contain at least the following parameters:

25

- QTc The Q-T interval normalized by the square root of the R-R interval according to Bazett's formula ,
- D2 Time interval from Tstart to Tend,

D3 Time interval from Tstart to Ttop,

D4 Time interval from Ttop to Tend.

5 The groups of parameters could contain further parameters and the group may contain a number of sub groups.

Combining parameters, the system and/or method may form the different groups. When combining parameters from different groups, a much better result is achieved than when only using parameters from the same group. The parameters can be an ele-
10 vation of the curve; they can be the morphology of the curve; or they could be time-deviations as an example of possible parameters. When combining parameters, a precise analysis can take place because a specific combination of parameters can indicate a specific disease and it is possible effectively to select between ECG-signals that look very much alike, but which indicate different diseases.

15 The system and/or method can analyse the QT interval of the ECG curvature for indicating Long QT syndrome. This way, the Long QT syndrome can be indicated in an objective and effective manner which might occur with children right after they are born in order to start a treatment of Long QT syndrome as early as possible. The
20 method can differentiate between different genotypes of the Long QT Syndrome, which is important for the treatment. Hereby can be achieved that the correct medical treatments can be started.

The system and/or method can analyse for ST-elevation myocardial infarction by ana-
25 lysing at least the following parameters: ST elevation, ST morphology, T wave morphology and Q wave morphology. In this way, a very effective indication for ST-elevation myocardial infarction is achieved at the correct activity can be stated as early as possible.

30 The system and/or method can analyse for Non ST-elevation myocardial infarction by analysing at least the following parameters: ST depression, T wave morphology and Q wave morphology. Non ST-elevation myocardial infarction can also be detected in a highly effective way and correct treatment can be stated.

The system and/or method can analyse for Cardiomyopathia by analysing at least the following parameters: P wave morphology, QRS duration, S Wave morphology, T wave morphology. Cardiomyopathia can in this way be effectively detected.

5

The system and/or method can analyse for Brugada Syndrome by analysing at least the following parameters: PR-duration, ST elevation, ST morphology and T wave morphology. Hereby, it is achieved that Brugada Syndrome is detected in a very effective and fast way.

10

The system and/or method can analyse for Right bundle branch block RBBB by analysing at least the following parameters: QRS duration, QRS morphology, T wave morphology and ST elevation. In this way, an indication of Right bundle branch block RBBB is achieved in an effective way.

15

The system and/or method can analyse for Left bundle branch block LBBB by analysing at least the following parameters: QRS duration, R wave morphology and T wave morphology. An effective indication of Left bundle branch block LBBB is also possible with this method or by using the system.

20

The system and/or method can analyse for Short QT Syndrome by analysing at least the following parameters: Q-T duration and T wave morphology. Short QT Syndrome can also be analysed effectively.

25

The system and/or method can analyse for Hyperkalemia by analysing at least the following parameters: P wave morphology, T wave morphology, QRS duration, QT duration and PR duration. Hereby, indication of Hyperkalemia is achieved in a highly effective way.

30

The system and/or method can analyse for Hypokalemia by analysing at least the following parameters: QT duration, T wave morphology and ST depression. Hereby, it is achieved that Hypokalemia is indicated effectively.

The system and/or method can analyse for peri/myocarditis by analysing at least the following parameters: ST elevation, ST morphology, Q wave morphology and PR depression. Hereby, it is achieved that peri/myocarditis is detected effectively.

- 5 The system and/or method can analyse for Right Ventricular Hypertrophy by analysing at least the following parameters: Q wave morphology, QRS duration, S wave morphology and T wave morphology. Herby, it is achieved that Right Ventricular Hypertrophy is indicated effectively.
- 10 The system and/or method can analyse for Left Ventricular Hypertrophy by analysing at least the following parameters: Q wave morphology, QRS duration, S wave morphology and T wave morphology. Also Left Ventricular Hypertrophy can be detected effectively.
- 15 The system and/or method can analyse for Arrhythmogenic Right Ventricular Dysplasia by analysing at least the following parameters: QRS duration, S wave morphology and T wave morphology. Hereby, it is achieved that Arrhythmogenic Right Ventricular Dysplasia is detected in an effective way.
- 20 Below is described one possible method and a system to illustrate the invention.

Abstract

- The Long QT Syndrome is a genetic disorder characterized by abnormal cardiac repolarisation resulting in prolonged QT duration, syncopal episodes and increased risk of sudden cardiac death. Mutations in the KvLQT1- and HERG genes account for more
- 25 than 90% of all LQTS patients. The QT interval duration is the only ECG-based quantifier of LQTS used in clinical practice today. However duration is only a gross estimate of repolarisation and does not allow perfect discrimination between KvLQT1, HERG and normal subjects. Studies have shown that T-wave morphology parameters are useful discriminators in LQTS, but no single parameter has proven to be sufficient.
- 30 In this study we present a novel multivariate discrimination method based on a combination of T-wave symmetry-, flatness- and duration parameters. 16 subjects were included in the study - 8 normal, 5 HERG and 3 KvLQT1 patients. Genotypes were

known for all LQTS patients, but one. Standard 12 - lead ECG's were recorded on each subject. An automatic ECG event detection algorithm was implemented. The signal was highpass filtered and normalized with respect to the isoelectric level to ensure a stable baseline. 4 parameters describing the duration of repolarisation, 6 symmetry- and 15 flatness parameters were calculated to characterize each of the T-waves. The mean values of lead V5 and the interlead standard deviations were used as parameter values. Stepwise discriminant analysis was performed to obtain two discriminant functions based on the five strongest discriminatory parameters. The resulting discriminant functions include 2 duration-, 2 symmetry- and 1 flatness parameter. The two functions classify all subjects correctly ($p > 0.0001$, $p < 0.005$). Further discriminant analysis with a reduced number of parameter categories implied that superior classification is obtained when using all three parameter categories presented. A combination of parameters from the three categories symmetry, flatness and duration of repolarisation was sufficient to correctly classify ECG recordings from the KvLQT1, HERG and normal subjects in this study. This multivariate approach may prove to be a powerful clinical tool.

1. Introduction

The Long QT Syndrome (LQTS) represents a hereditary genetic disorder characterized by the presence of prolonged QT duration on the ECG, syncopal episodes due to polymorphic ventricular tachycardia (torsade de pointes), and arrhythmogenic sudden cardiac death.

Mutations involving 6 different genes have been identified in LQTS subjects. These mutations result in structural and functional changes in ion-channel proteins and currents. The changes are manifest by QT prolongation and morphological gene-specific repolarisation patterns. The most prevalent genes affected in LQTS patients are KvLQT1 and HERG which account for more than 90% of LQTS genotype patients. The current study focuses on carriers of these two genes. Although some attempts have been made to develop quantitative measures that link different repolarisation abnormalities to specific LQTS related channelopathies these methods have so far failed to provide a solid diagnostic yield. In current practice the duration of the QT interval is the only widely accepted quantifier of ventricular repolarisation. Yet, it has

been recognized that the duration of the QT interval is only a gross estimate of repolarisation since T-wave morphology is also important when characterizing the QT interval. This is evidenced by the fact that approximately 10% of all mutation carriers have a normal Bazett corrected QTc (<440ms) and 40% of KvLQT1 and HERG carriers show QTc values between 410-470 ms that overlap with non-carriers. Conversely only 2% of all carriers present with a normal ST-T pattern and a normal QT interval. Morphological aberrations thus carry major implications for the identification of abnormal repolarisation and have been included as diagnostic criteria equivalent to that of a positive family history for LQTS.

Studies have shown that affected KvLQT1 patients generally show broad based T-waves with a normal to relatively high amplitude and often without a distinct T - wave onset. For individuals with mutations involving the HERG gene the aforementioned studies have generally found low amplitude T-waves with bifid T-waves in 60% or more of the carriers.

Cardiologists already include a qualitative assessment of T-wave morphology from the ECG in order to obtain information that augments the clinically established QT interval measurement and facilitates discrimination between LQTS genotypes. However qualitative description of repolarisation morphology may be biased due to intra- and interpersonal variability thus indicating the need for a standardized quantitative measure of this parameter.

In the following is presented a novel multivariate categorization method that allows discrimination between KvLQT1, HERG and normal individuals based on T-wave morphology recorded from 12-lead ECG's. Hallmark morphological features of T-waves reported in the literature for these three groups served as inspiration for selecting three primary T-wave characteristics to be assessed. These characteristics are symmetry, flatness and duration.

2. Methods

2.1 Subjects

The study included ECG recordings from 8 female and 8 male subjects. The subjects were divided into four groups; 3 KvLQT1 (aged 20-48, 2 females), 5 HERG (aged 13-76, 2 females), 8 normal (aged 23-31, 4 females). Genotypes were known for all KvLQT1 and HERG subjects with a single exception: 1 patient was categorized as a KvLQT1 subject by anamnesis and ECG-analysis. In the normal group there were no reports of prior cardiac diseases or LQTS family precedent.

2.2 Data collection

Data acquisition was carried out with the subjects resting in supine position. The equipment used for data acquisition was a portable digital ECG recording system, "Cardio Perfect Resting ECG system" manufactured by Cardiocontrol. Recording was divided into three sessions. Data was collected from 8 leads (I-III, V2-V6) with a sampling rate of 1200Hz. Signal recording length was 75 s. in the first session and 150 s. in the last two sessions.

Following data acquisition, SCP files generated by the Cardio perfect software were exported from a MSDE/SQL7 server and subsequently converted to .MAT files using SCP-Batch Converter.

20

2.3 Algorithm for detection of events in the ECG

To facilitate evaluation of the repolarisation process and the QT interval, several events in the ECG were detected (Qstart, Rtop, Tstart, Ttop and Tend). An algorithm for detecting these events was implemented in Matlab 6.0.

25

The method is based on prior work published by Laguna et al. and uses adaptive thresholding techniques applied to a digitally filtered and differentiated signal. A minor extension to the algorithm was incorporated to enable the detection of Tstart.

Tstart was detected with a technique equivalent to the technique for detecting Tend. Figure 1 shows an example of the result of the event detection algorithm.

Figure 1. Important events that are used to describe repolarisation are marked by dots by the event detection algorithm. The algorithm is able to detect the events on all 8 recorded leads.

2. 4 Preliminary signal processing

Evaluation of the QT interval and the repolarisation process was done on the basis of an ECG signal with stabilized baseline. This was achieved through preliminary signal processing. The "raw" ECG was filtered by a minimum order equiripple high pass filter with a cut-off frequency of 2 Hz, 60 dB damping in 0 Hz and 1dB ripple in the passband (N=1276). After filtering, the signal had an almost stable baseline. In order to improve stability, isoelectric lines in the signal were estimated from one P-Q interval (Qstart minus 20 ms) to the following P-Q interval (Qstart minus 20 ms). The signal was then normalized by subtracting the line value from the corresponding signal values. This process is shown in figure 2.

2. 5 T-wave morphology parameters

In order to characterize the T-wave morphology, a number of parameters were selected. The parameters were chosen to cover each of the three categories: Twave symmetry, T-wave flatness and duration. The parameters are listed and described in table 1.

Parameters S1-S4 and F1-F8 is based on the calculation of modified skewness and kurtosis measures. Inspired by the summary measures of probability distributions used in the field of statistics the T-waves were modelled as probability mass distributions (figure 3) and assigned a centre (mean), width (standard deviation), an asymmetry measure and a convexity measure. Asymmetry and convexity calculations were then carried out based on the modified skewness and kurtosis measures (3rd and 4th order moments) as follows:

The total area under the signal, m0, was calculated:

$$m_0 = \sum_{n=0}^{N-1} V[n]$$

The signal was normalized by the value of the area, m_0 :

$$w[n] = v[n] / m_0$$

5

Normalization facilitated the calculation of the moment functions, since $w[n]$ shares a fundamental property with the probability mass function: A total area of 1.

The 1st order moment, m_1 , was calculated. m_1 is the mean of the signal:

$$m_1 = \sum_{n=0}^{N-1} n * w[n]$$

10

The 2nd order moment, m_2 , was calculated. m_2 is the standard deviation of the signal:

$$m_2 = \left(\sum_{n=0}^{N-1} (n - m_1)^2 * w[n] \right)^{1/2}$$

15

Figure 2 Isoelectric lines (dashed lines) in the signal are calculated from one P-Q interval to the following P-Q interval ($Q_{start} - 20$ ms). The line values are subtracted from the corresponding ECG signal values giving the distances $v(n)$. The result of this procedure is shown as an area plot with basis on the zero-line.

20 **Figure 3.** a) Example probability mass distribution used when calculating standard skewness and kurtosis measures. b) Modified frequency distribution used in this study for calculating the modified skewness and kurtosis measures. Signal values $v(n)$ are shown in figure 2.

4/8

25 **PARAMETER DESCRIPTION**

Symmetry

S1 Skewness evaluated from T_{start} to T_{end} .

- S2 Skewness evaluated from Tstart to Tend with Ttop as mean.
- S3 Skewness evaluated in a symmetric interval, 10 % of the Tstart-Tend interval surrounding Ttop with Ttop as mean.
- S4 Skewness evaluated in a symmetric interval, 20 % of the Tstart-Tend interval surrounding Ttop with Ttop as mean.
- 5 S5 Ratio of the time interval from Tstart to Ttop and the time interval from Ttop to Tend.
- S6 Ratio of the average slope from Tstart to Ttop and from Ttop to Tend.

Flatness

- 10 F1 Kurtosis evaluated from Tstart to Tend.
- F2 F1 normalized by the absolute Rtop-Qnadir value.
- F3 Kurtosis evaluated from Tstart to Tend with Ttop as mean.
- F4 F3 normalized by absolute Rtop-Qnadir value.
- F5 Kurtosis evaluated in a symmetric interval, 10 % of the Tstart-Tend interval surrounding Ttop with Ttop as mean.
- 15 F6 F5 normalized by absolute Rtop-Qnadir value.
- F7 Kurtosis evaluated in a symmetric interval, 20 % of the Tstart-Tend interval surrounding Ttop with Ttop as mean.
- F8 Kurtosis normalized by the value of Rtop with Ttop as mean.
- 20 F9 Ratio of the total area under the T-wave from Tstart to Ttop and the corresponding time interval.
- F10 F9 normalized by absolute Rtop-Qnadir value.
- F11 Ratio of the total area under the T-wave from Ttop to Tend and the corresponding time interval.
- 25 F12 F11 normalized by absolute Rtop-Qnadir value.
- F13 Ratio of the total area under the T-wave from Tstart to Tend and the corresponding time interval.
- F14 F13 normalized by absolute Rtop-Qnadir value.

F15 Ratio of the height of Rtop and the width of the Tstart-Tend interval.

Duration

QTc The Q-T interval normalized by the square root of the R-R interval according to Bazett's formula.

5 D2 Time interval from Tstart to Tend.

D3 Time interval from Tstart to Ttop.

D4 Time interval from Ttop to Tend.

10 The table above shows a Complete list of the parameters used to characterize T-wave morphology. Parameters belong to one of three categories: symmetry, flatness and duration.

The 3rd order moment, m3, was calculated. m3 is the modified skewness of the signal:

15

$$m_3 = \left(\sum_{n=0}^{N-1} (n - m_1)^3 * w[n] \right)^{1/3}$$

20 Finally the 4th order moment, m4, was calculated. m4 is the modified kurtosis of the signal:

$$m_4 = \left(\sum_{n=0}^{N-1} (n - m_1)^4 * w[n] \right)^{1/4}$$

2.6 Data analysis in Matlab

25 The T-wave morphology parameters for the acquired, pre-processed ECG recordings were evaluated using Matlab 6.0. Only valid data were analyzed - i.e. data from leads where the signal was not corrupted by high frequency noise and where the event detection algorithm was successful in detecting the relevant events with satisfactory preci-

sion. Parameter means and standard deviations were calculated for every T-wave in the signal on all leads. A great interlead variation in T-wave morphology may be an indicator of LQTS. Interlead variance was therefore examined by calculating the standard deviation of the lead means for each parameter.

5

Only the parameter means from lead V5 and interlead standard deviations were used as final parameter values. Hence, for every parameter in table 1, two parameters were calculated - one with index "meanV5" and one with index "std" e.g. F1meanV5 and F1std.

10 2.7 Statistical analysis

In order to characterize and classify data from the three groups (KvLQT1, HERG and normal), the evaluated parameter values were processed using discriminant analysis. The analysis was carried out in SPSS version 11.5. The objective of the discriminant analysis was twofold: finding parameters that most efficiently discriminate between
15 the groups and reducing the number of variables. Therefore a stepwise procedure was used with the Mahalanobis D2 as the most appropriate distance measure.

The entry/removal-criteria were adjusted in order to reduce the number of variables in the discriminant functions to achieve a 1:3 ratio between the number of variables and
20 the population size (N=16). The criteria were empirically chosen to be pentry = 0.045 and premoval = 0.09 providing the desired 5 variables in the discriminant functions.

Figure 4. Scatterplot showing classification of individuals by genotype. Separation of groups was carried out by 2 discriminant functions with 5 variables that characterize
25 repolarisation by computation of symmetry, flatness and duration.

3. Results

The discriminant functions were based on data from all KvLQT1, HERG and normal subjects. The 5 parameters included in both discriminant functions are listed in table 2.

The discriminative efficiency of both generated functions was statistically significant
30 after inclusion of all 5 parameters (function 1: $p < 0.0001$, function 2: $p < 0.005$).

Variables Entered

Step	Entered
1	F11std
2	QTcmeanV5
3	S5meanV5
4	D4std
5	S4meanV5

- 5 **Table 2.** Variables used by the two discriminating functions. Stepwise introduction of more variables improved the ability of the functions to discriminate between KvLQT1, HERG and normal.

10 A scatterplot was generated from the discrimination functions and groupings of individual genotypes can be seen in figure 4. The dotted lines were read from the SPSS generated territorial map and manually added. The lines reflect borderlines where the differences between each pair of discrimination functions are zero. All 16 processed ECG's were correctly classified and showed at least one discriminatory characteristic as defined by the 5 parameters included in the discrimination functions. Cross validation of both discriminant functions was done with the leave-one-out method and all 16 subjects were again correctly grouped. Reducing the number of variables resulted in misclassified cases due to lack of one or more discriminatory characteristics. In light of this finding we elected to perform further analysis of the selected parameters in order to investigate the individual contributions of each variable to the separation of the three primary groups of subjects. Extreme values for all parameters were identified and the mean was computed.

20

The result is plotted in figure 5. As expected the extent of interlead flatness variation observed in HERG and normal individuals was lower than that found in KvLQT1 sub-

jects. This is evidenced by the F11std parameter in figure 5a. When evaluating parameter values S4meanV5 and S5meanV5 (figure 5b, d) the extent of 6/8 asymmetry in KvLQT1 and normal was generally less than that of HERG individuals. Both S4meanV5 and S5meanV5 are symmetry parameters and asymmetry in HERG individuals was augmented in two ways: When bifid T-waves were present the interval from Tstart to Ttop was prolonged due to the definition of Ttop used in this study (the last highest point on the T-wave). Also, when the initial portion before Ttop was prolonged in HERG individuals better discrimination was possible. Both phenomena were observed in HERG subjects. Generally the Bazett corrected QTc observed in HERG and KvLQT1 was higher than that of normal individuals (figure 5e). However overlap existed between all three groups preventing separation of the groups by QTc. Since no single parameter included in the discrimination functions was able to separate KvLQT1, HERG and normal, we proceeded to investigate the classification efficiency provided by the three primary categories represented by the parameters in the functions. This was carried out by generating new discrimination functions using parameters from one category only while excluding the other two. Then, from the new discrimination functions three additional functions were generated, this time allowing the inclusion of parameters from combinations of two categories. Scatterplots illustrating the results of this analysis are shown in figures 6a-f. The first two functions (figure 6a) included parameters that characterize the symmetrical properties of the Twave. 83.1% of the 16 subjects were correctly classified. Arrows in figure 6a indicate the 3 misclassified subjects. A second discriminant analysis was performed using flatness parameters. This resulted in 93.8% correctly classified subjects. Only one subject was not correctly classified as indicated by the arrow on figure 6b. The misclassified case was the same HERG subject incorrectly classified using symmetry parameters. The discriminatory efficiency of duration parameters was also evaluated. Discrimination analysis resulted in 93.8% correctly classified subjects. One HERG subject was misclassified as KvLQT1. QTc was 416ms and the subject showed relatively peaked T-waves similar to those found in KvLQT1. However the duration parameters failed to identify this morphological feature, thus reducing classification performance.

It can be noted that improved classification was obtained using flatness or duration parameters versus symmetry parameters and it seemed reasonable to investigate if fur-

ther classification improvement could be achieved using a combination of several parameter categories.

Figure 5. a) F11std –Interlead standard deviation of the ratio between the total area under the T-wave from Ttop to Tend and the corresponding time interval. b) S4meanV5 - Lead V5 mean modified skewness evaluated in a symmetrical interval surrounding Ttop and corresponding to 20% of the interval between Tstart-Tend. c) D4std – Interlead standard deviation of the time interval from Ttop to Tend. d) S5meanV5 – Lead V5 mean of the ratio between the time interval from Tstart to Ttop and the corresponding time interval from Ttop to Tend. e) Lead V5 mean QTc.

Figures 6d-f show the results of three separate discriminant analysis using combinations of parameters from two categories. It can be noted that classification of subjects was perfect in all cases, even when repolarisation duration was not considered (figure 6d).

4. Conclusion and discussion

The initial discriminant analysis performed in this study resulted in perfect classification of all KvLQT1, HERG and normal subjects. In table 2 it was noted that the discriminant functions included parameters from all three categories; T-wave symmetry, T-wave flatness and duration. This is in agreement with the initial hypothesis that a combination of repolarisation duration and T-wave morphology characteristics could improve discrimination between KvLQT1, HERG and normal.

To understand why some subjects were misclassified using a reduced set of parameter categories (figures 6a-c) the duration parameters and morphological characteristics of all 16 ECG's were examined.

Using only symmetry parameters, 3 subjects were misclassified. However no obvious visual characteristics on the three misclassified ECG's could be identified that explained the incorrect classifications. The Bazett corrected QTc was 347ms for the normal subject, 425ms KvLQT1, 476ms HERG. Although an obviously prolonged QTc was present in the misclassified HERG subject it was not identified using symmetry parameters alone.

Discriminant analysis using parameters from the flatness category resulted in only 1 misclassification. Again no visual characteristics were identified to account for the misclassification. Although it was anticipated that the

5 **Figure 6. a)** The result of discriminant analysis using symmetry parameters resulted in three misclassified cases (arrows). Visual inspection of the ECG's revealed no apparent abnormalities to indicate the reason for incorrect misclassification. **b)** The result of discriminant analysis using flatness parameters. One incorrectly classified HERG subject was identified (arrow) even though no obvious visual abnormality indicated a different genotype. **c)** Result of discriminant analysis using duration parameters. This result illustrates the failure of duration parameters to discriminate between KvLQT1, HERG and normal (arrow). **d-e)** Combinations of parameters from two categories illustrate the improvement in classification efficiency when compared to figures 6a-c evaluation of T-wave flatness would be able to discriminate HERG from KvLQT1 subjects this was not accomplished by using flatness as a single descriptor of repolarisation. Performing discriminant analysis based on the QTc parameter as the only variable resulted in 1 misclassification. This was not unexpected since it is well known that a substantial overlap in QTc values can exist between normal and affected individuals. The lack of unambiguous discrimination between all groups by use of the QTc parameter alone emphasizes the hypothesis that additional parameters are needed to classify LQTS individuals. By combining parameters from two categories it was found that the discriminatory strength was increased.

(figures 6d-f) This was evidenced by the fact that no subjects were misclassified using two categories. A particularly interesting finding, was the perfect separation of all subjects that was obtained using symmetry and flatness parameters with no duration parameters included. This result implies the discriminatory strength inherent in parameters from those two categories. In addition it was found that symmetry or flatness parameters combined with duration parameters yielded perfect discrimination between all groups. Results from the discriminant analysis using one and two categories indicate that a combination of more parameter categories strengthen the overall discriminatory power of the classification functions. Combining these findings with the results from the three category discriminant analysis initially performed, it is reasonable to

speculate that a substantially improved discrimination between KvLQT1, HERG and normal is possible using all three categories of parameters.

5 In light of the results obtained in this study we propose a new technique for discriminating between KvLQT1, HERG and normal subjects. Through multivariate discriminant analysis it was found that a combination of two duration parameters and three T-wave symmetry-and flatness parameters was sufficient to classify each of the 16 study subjects into one of the three distinct groups. Although no single parameter had the necessary discriminatory strength to classify the subjects, the combination of multiple
10 parameters in two discrimination functions was statistically significant (function 1: $p < 0.0001$, function 2: $p < 0.005$). The encouraging results of multivariate repolarisation analysis found in this study support the use of symmetry-, flatness- and duration parameters to classify LQTS patients.

15 Further effort must be made to strengthen the statistical impact of this study and to investigate whether the proposed symmetry-, flatness- and duration parameters are the best suitable for the discrimination functions.

The use of the proposed multiple parameter categories to classify KvLQT1 and HERG
20 genotypes may prove to be a powerful clinical tool in the making.

The invention will in the following be described in detail with reference to the drawing, where figures 1 to 6 are mentioned in the text, and they are as such not further described.

25

Fig. 7 shows examples of ECG-curvature having ST-elevation myocardial infarction, where the active parameters for indicating ST-elevation myocardial infarction is ST-elevation, ST-morphology, T-wave morphology and Q-wave morphology.

30 Fig. 8 shows curvaturic non ST-elevation myocardial infarction, where parameters of ST depression, T-wave morphology or Q-wave morphology could be used.

Fig. 9 shows a ECG-curvature indicating Cardiomyopathia where the following parameters can be used for indication: P-wave morphology, QRS duration, S-wave morphology and T-wave morphology.

5

Fig. 10 shows in the same way indications for Brugada Syndrome. Here, the effective parameters for indication are PR-duration, ST-elevation, ST morphology and T-wave morphology.

10

Fig. 11 shows curvatures referring to Right bundle branch block RBBB where the parameters could be QRS duration, R-wave morphology, T-wave morphology and ST-elevation.

15

Fig. 12 shows curvatures indicating Left bundle branch block LBBB where the effective parameters could be QRS duration, R-wave morphology and T-wave morphology.

Fig. 13 shows curvatures indicating Short QT syndrome where parameters for indication could be Q-T duration and T-wave morphology.

20

Fig. 14 shows curvatures indicating Hyperkalemia where parameters effective for indications are P-wave morphology, T-wave morphology, QRS duration, QT duration and PR duration.

25

Fig. 15 shows curvatures indicating Hypokalemia where the effective parameters for indication seem to be QT duration, T-wave morphology and ST depression.

Fig 16 shows curvatures indicating Pericarditis where the effective parameters are ST elevation, ST morphology, Q-wave morphology and PR depression.

30

Fig. 17 shows a curvature indicating Right Ventricular Hypertrophy (RVH) where the effective parameters for indication are Q-wave morphology, QRS duration, S-wave morphology and T-wave morphology.

Fig. 18 shows curvatures indicating Left Ventricular Hypertrophy (LVH) where the effective parameters for indication are Q-wave morphology, QRS duration, S-wave morphology and T-wave morphology.

- 5 Fig. 19 shows curvatures indicating Arrhythmogenic Right Ventricular Dysplasia where the parameters are QRS duration, S-wave morphology and T-wave morphology is used.

ABSTRACT

The present invention relates to a system or a method for analysing ECG curvature where at least one among a number of different parameters is isolated, which system has a input means connected to an ECG source, where the different parameters of a received ECG curvature are indicated and/or isolated and for indicating possible symptoms which relate to or are indications of certain deceases, where said deceases are known to influence the ECG curvature.

The aim of the invention is to achieve a system and a method for objective, fast and effective indication of a number of symptoms derivable from an ECG curve which may be indicative of one or more diseases.

This can be achieved with the system or method previously described, if a first number of selected parameters are combined in at least a first mathematical analysis, where the result of the analysis can be represented as a point in a coordinate system comprising at least two axes where the system can compare the actual placement in the coordinate system with a number of reference parameters stored in the system for indicating diseases having influence on the ECG curvature. Hereby, it is achieved that any symptom of a disease having an indication (influence) in the ECG curvature can be detected in an objective, automated and very fast way. The system might be used under field conditions such as in ambulances or in other situations where a fast indication of heart diseases is needed in order to help the patient in a correct way as early as possible.

Fig. 4

Fig. 1

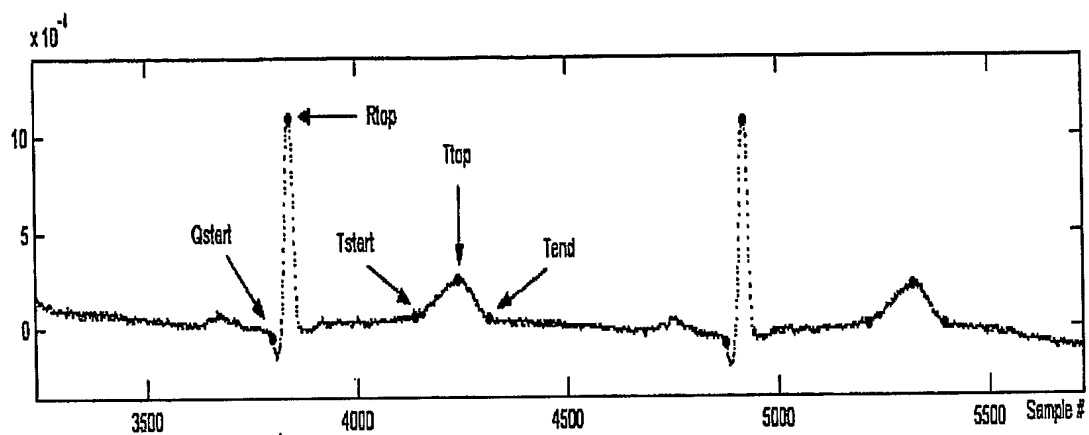


Fig. 2

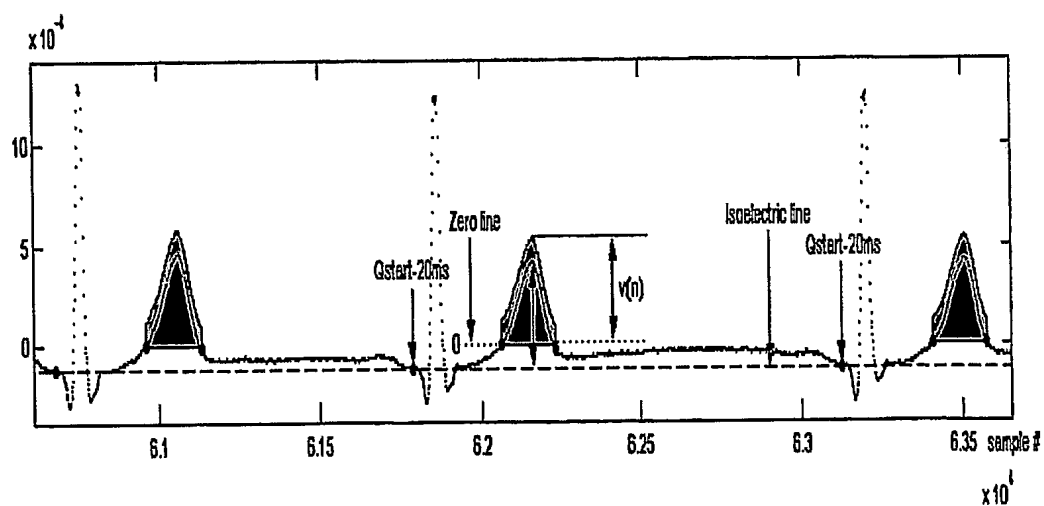


Fig. 3

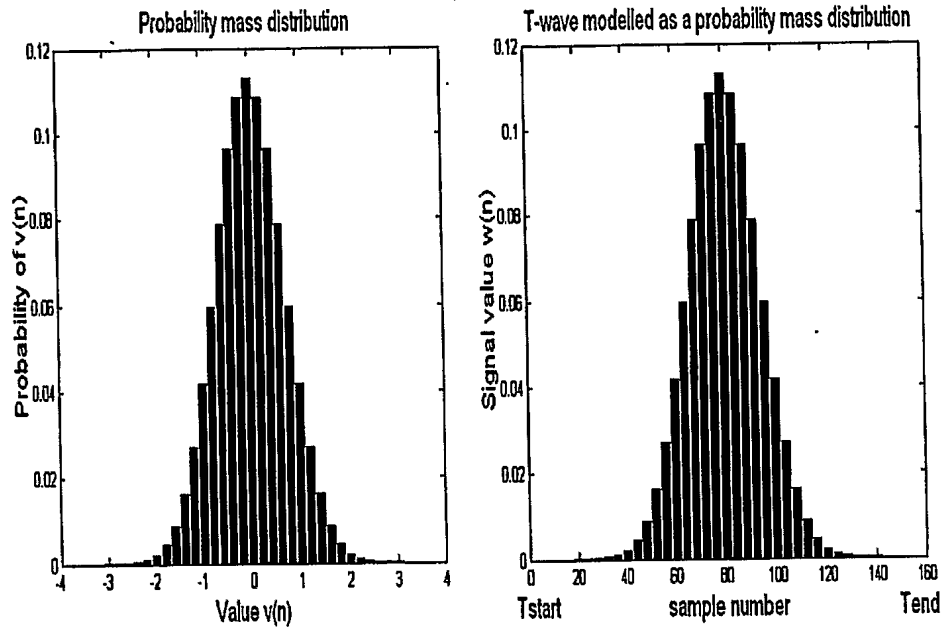


Fig. 4

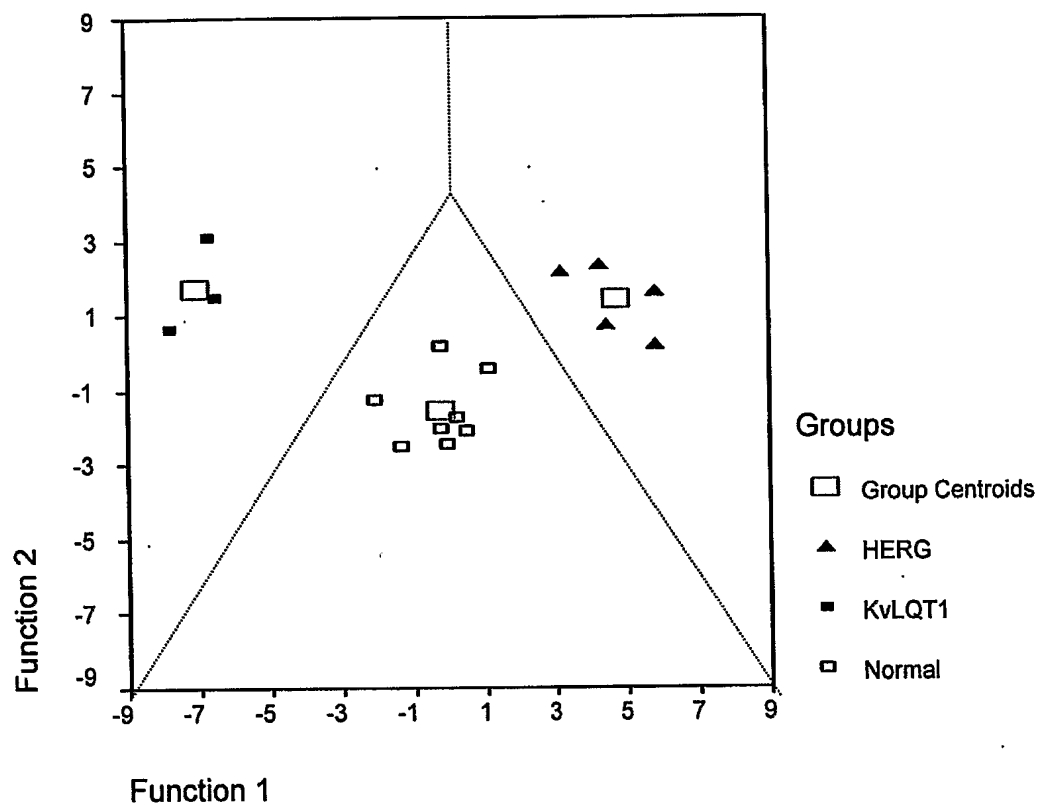


Fig. 5a

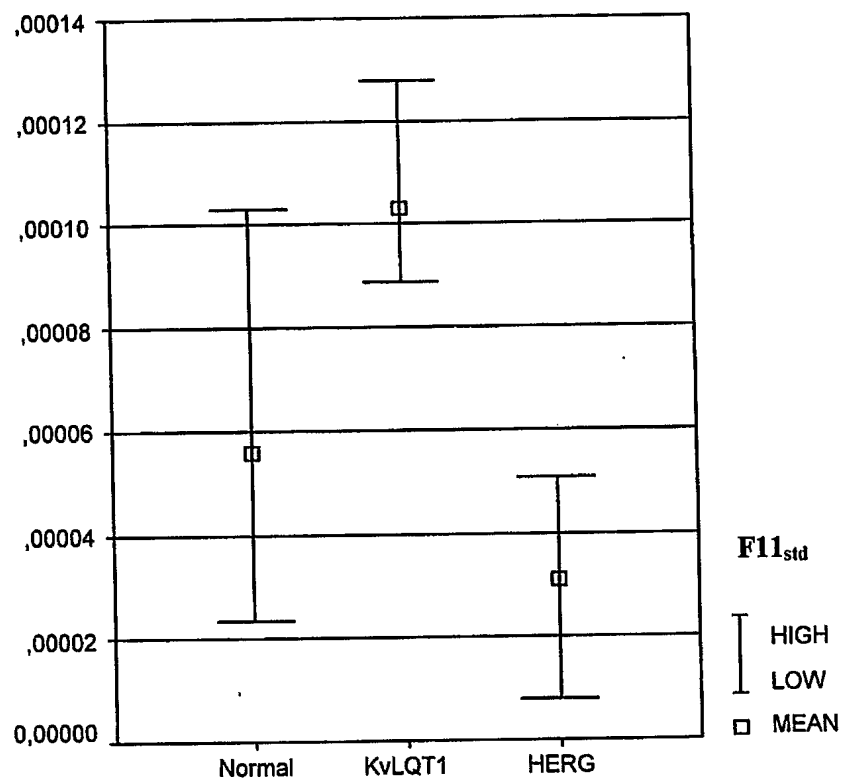


Fig. 5b

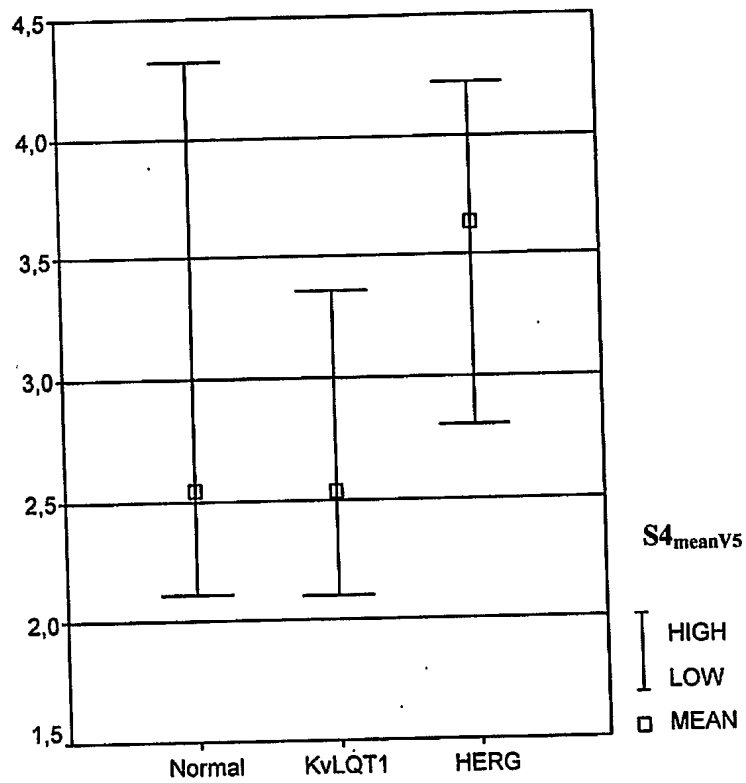


Fig. 5c

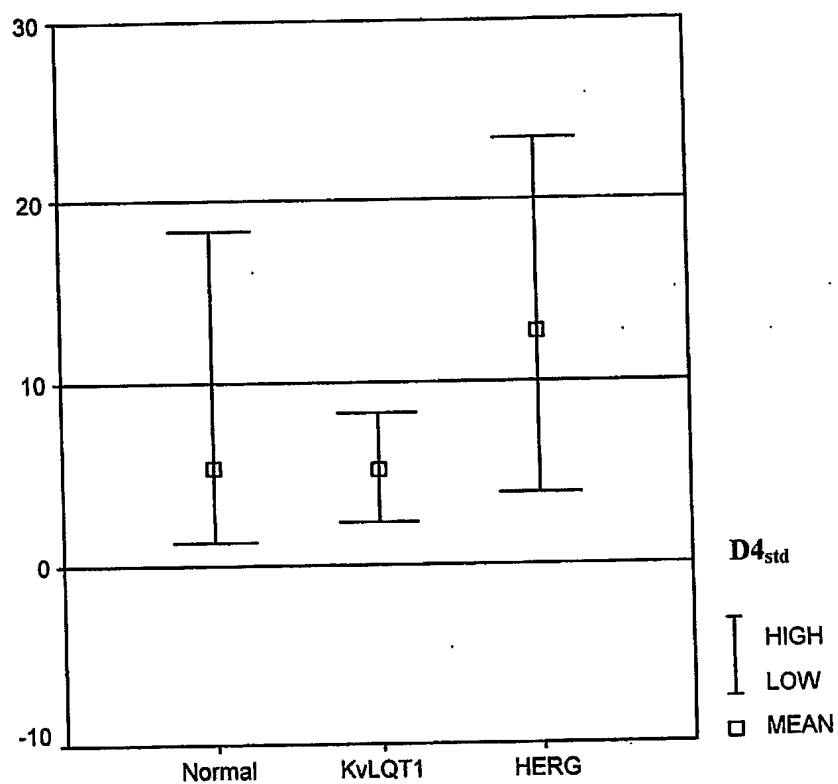


Fig. 5d

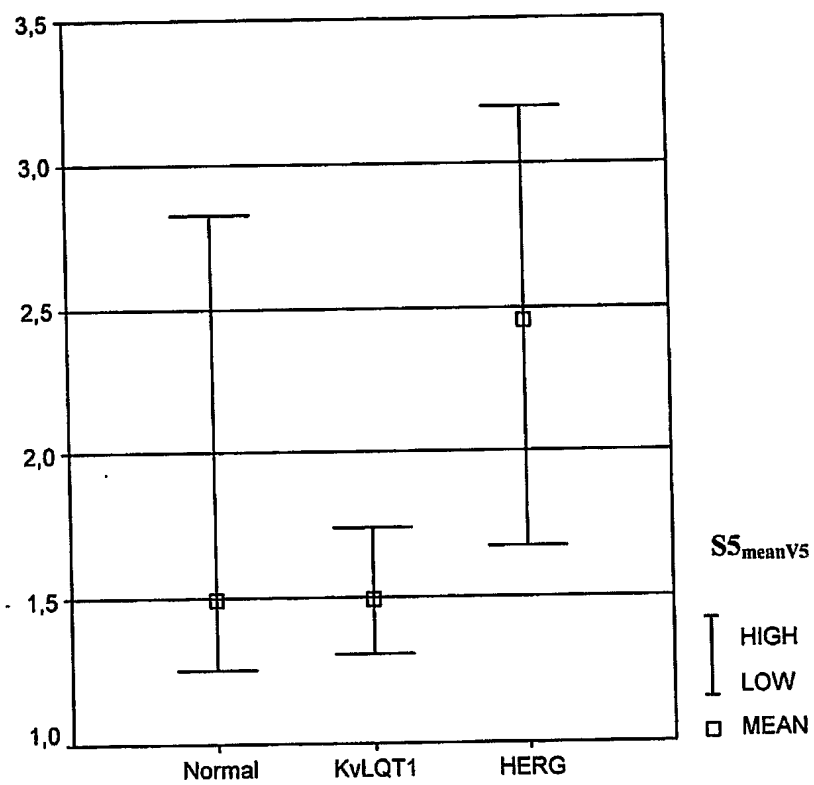


Fig. 5e

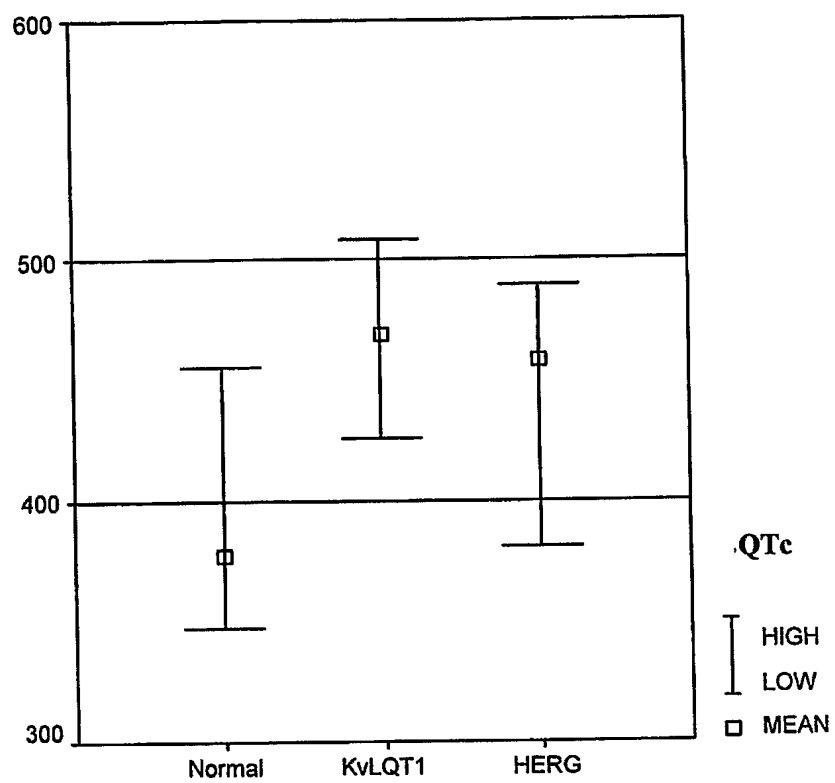


Fig. 6a

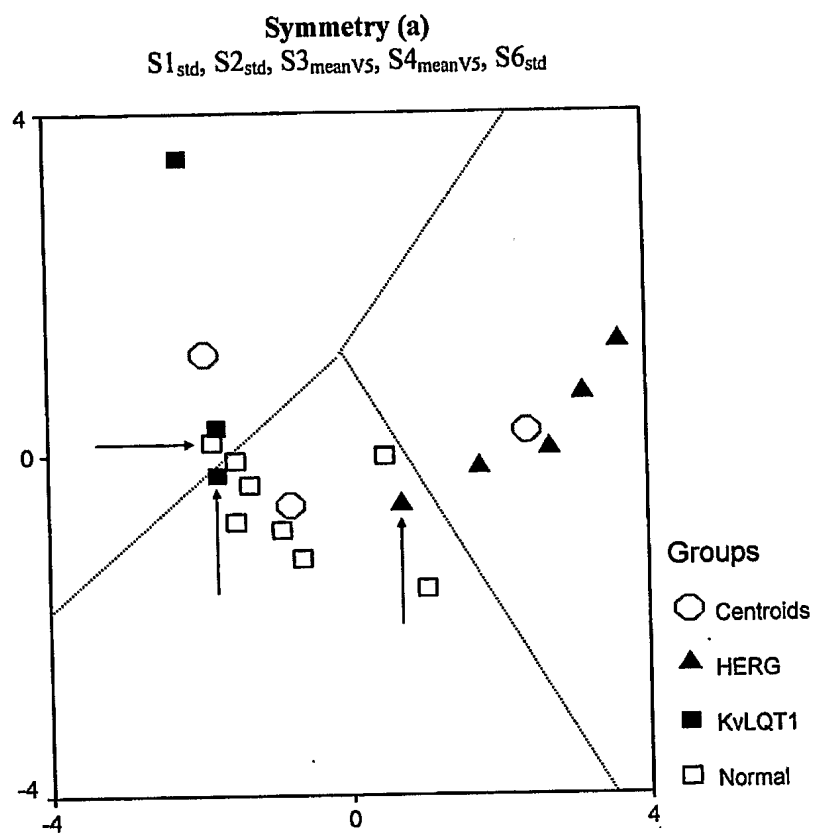


Fig. 6b

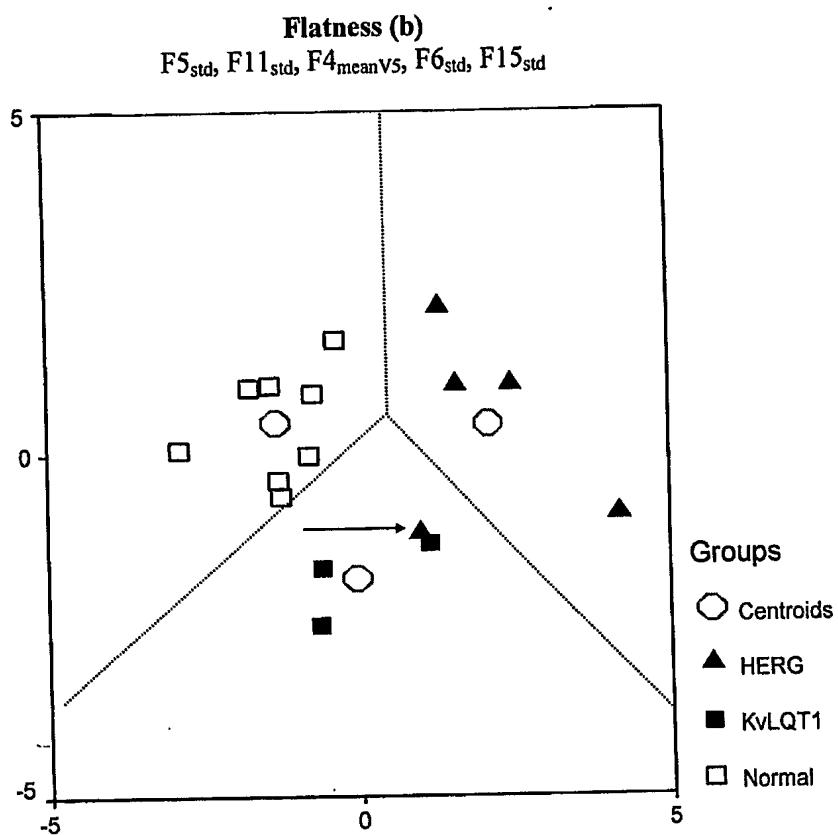


Fig. 6c

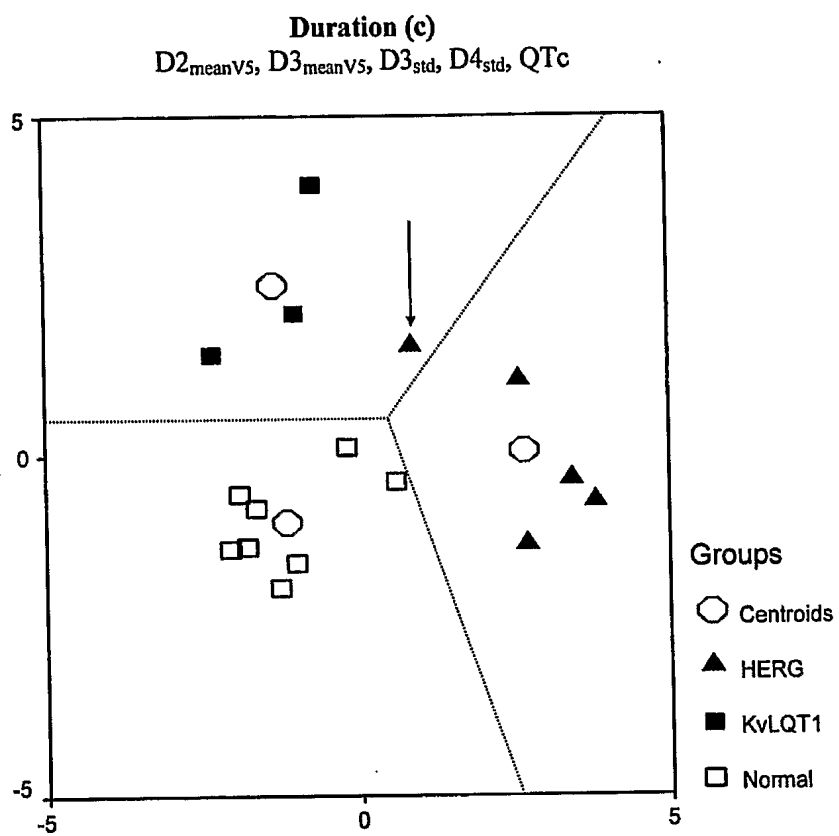


Fig. 6d

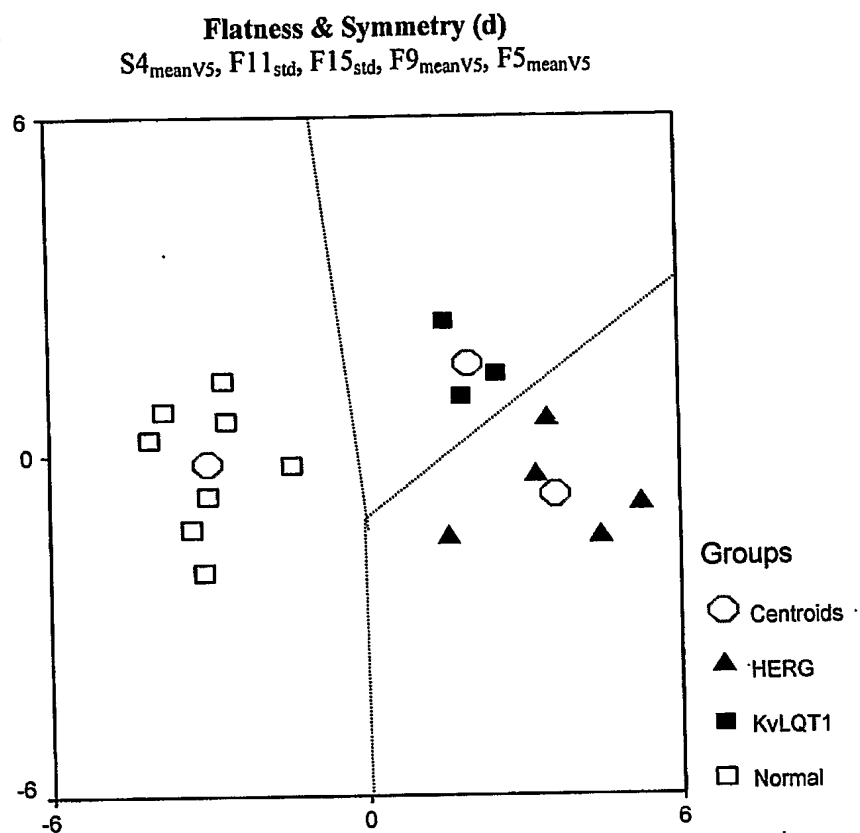


Fig. 6e

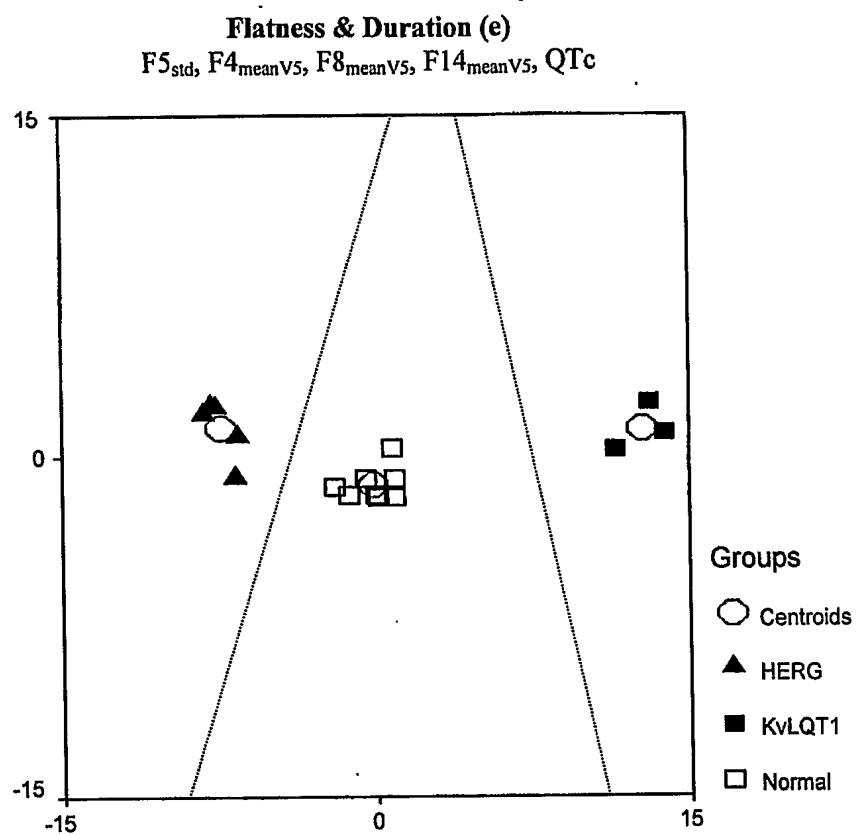


Fig. 6f

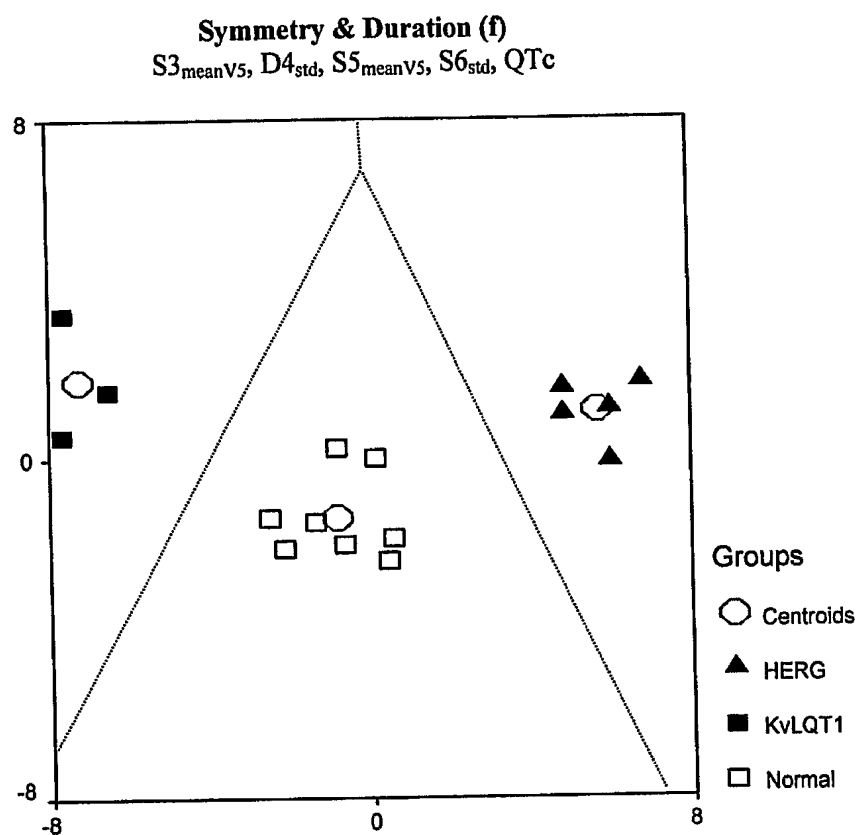
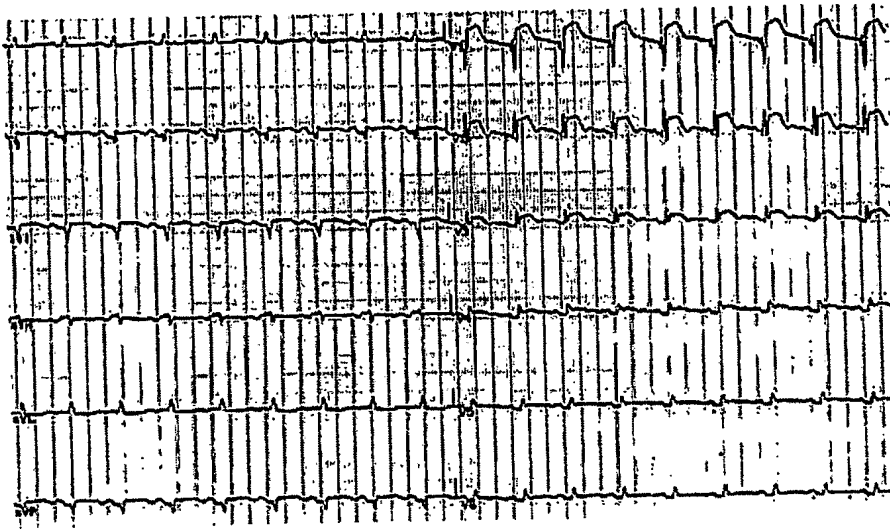


Fig. 7

ST-elevation myocardial infarction

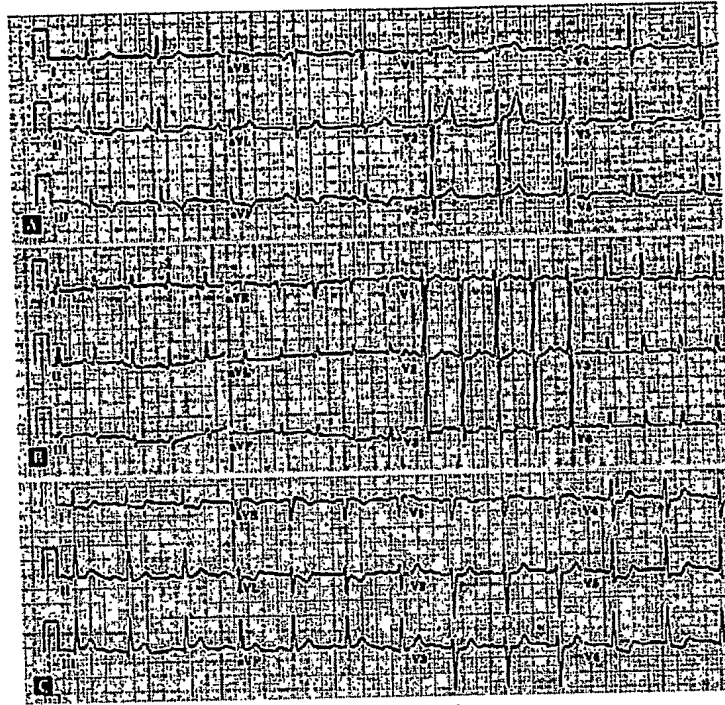


- ST elevation
- ST morphology
- T-wave morphology
- Q-wave morphology

Traditionally the presence of an ST elevation myocardial infarction (STEMI) is in the ECG diagnosed in the early phase by the ST elevation in the J-point and the subjective evaluation of the shape of the ST-morphology together with the progressive development of Q-waves. With the present method the diagnosis will be based on the combination of the objective measures and new parameters making the subjective changes into objective parameters. E.g the shape of the ST segment (ST morphology) will be characterised by 1 or more parameters, by which it is expected that it will be possible to improve the diagnosis in an automated way.

Fig. 8

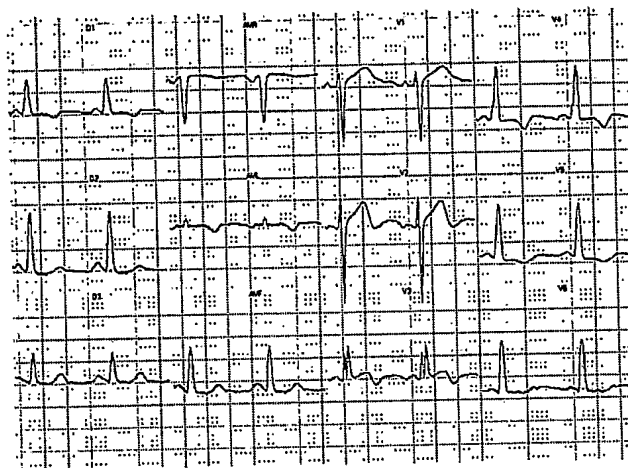
Non ST-elevation myocardial infarction



- ST depression
- T-wave morphology
- Q-wave morphology

Fig. 9

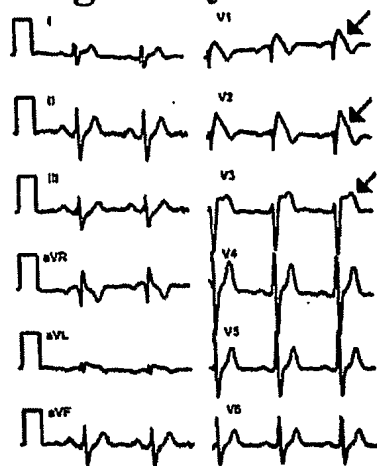
Cardiomyopathia



- P-wave morphology
- QRS duration
- S-wave morphology
- T-wave morphology

Fig. 10

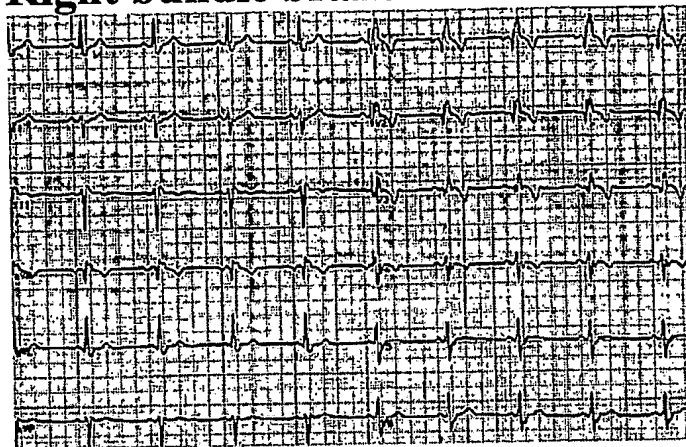
Brugada Syndrome



- PR-duration
- ST-elevation
- ST morphology
- T-wave morphology

Fig. 11

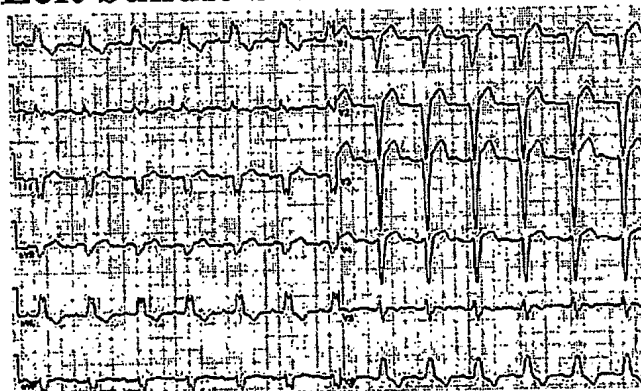
Right bundle branch block RBBB



- QRS duration
- R-wave morphology
- T-wave morphology
- ST-elevation

Fig. 12

Left bundle branch block LBBB



- QRS duration
- R-wave morphology
- T-wave morphology

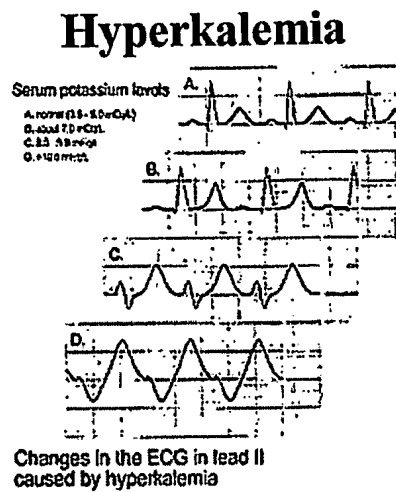
Fig. 13

Short QT Syndrome



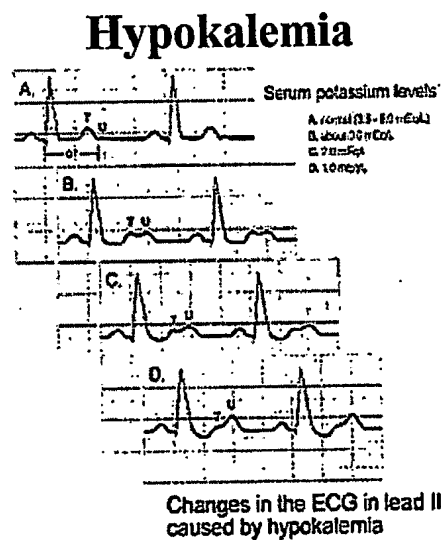
- Q-T duration
- T-wave morphology

Fig. 14



- P-wave morphology
- T-wave morphology
- QRS duration
- QT duration
- PR duration

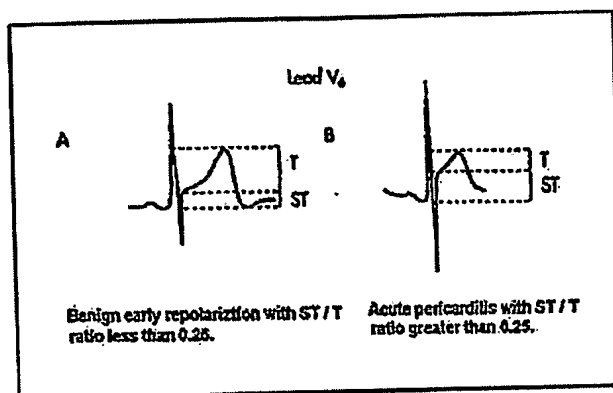
Fig. 15



- QT duration
- T-wave morphology
- ST depression

Fig. 16

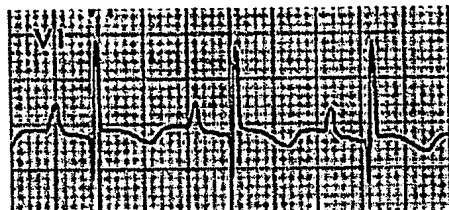
Pericarditis



- ST elevation
- ST morphology
- Q-wave morphology
- PR depression

Fig. 17

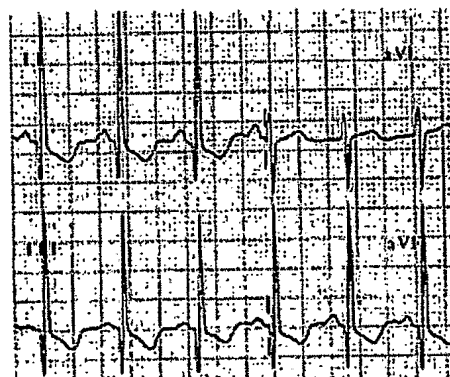
Right Ventricular Hypertrophy (RVH)



- Q-wave morphology
- QRS duration
- S-wave morphology
- T-wave morphology

Fig. 18

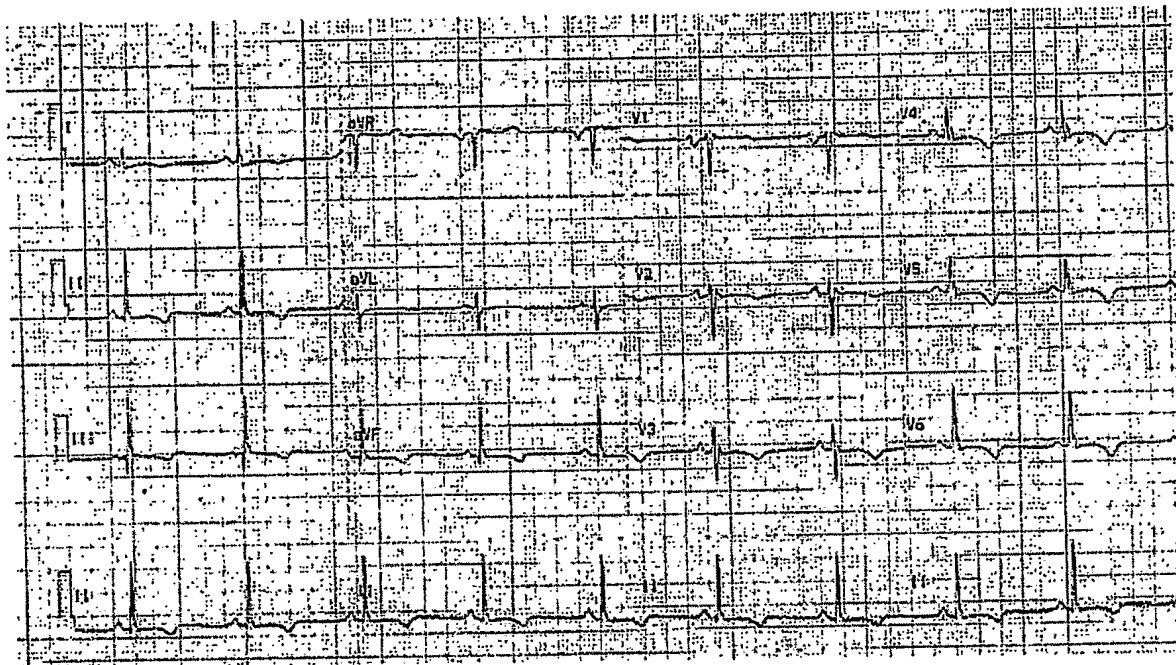
Left Ventricular Hypertrophy (LVH)



- Q-wave morphology
- QRS duration
- S-wave morphology
- T-wave morphology

Fig. 19

Arrhythmogenic Right Ventricular Dysplasia



- QRS duration
- S-wave morphology
- T-wave morphology